ACTIVE AND ASSISTED LIVING PROGRAMME
CALL FOR PROPOSALS AAL 2020
HEALTHY AGEING WITH THE SUPPORT OF DIGITAL SOLUTIONS
Pending approval by the European Commission—the financial contribution of the European Commission in the AAL 2020 call is conditional to the internal approval and adoption of the financing decision by the Commission.
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1. Call description and general information

1.1 THE AAL PROGRAMME

The AAL Programme is a common funding activity of partner states of the AAL Association, with the financial support of the European Commission, based on Article 185 of the Treaty on the Functioning of the European Union (TFEU).

The aim of the AAL Programme is to improve the autonomy, participation in social life, skills, and employability of older adults by providing innovative Information and Communication Technologies (ICT)/digital - based solutions. These solutions, whether they are products, systems or services, aim to enhance the older adults’ quality of life, to improve the long-term sustainability of health and care systems, and to strengthen the industrial base in Europe and internationally. To reach the above-mentioned goals, cooperation with relevant stakeholders, particularly end-users and business partners within the relevant active & healthy ageing eco system is essential. This can be done through the activation and involvement of stakeholders at local, regional, national or transnational level.

The AAL Calls for proposals provide a targeted funding mechanism for the development as well as the integration of ICT-based solutions (both products and services) into the social fabric of a particular region and/or health and care environment. This AAL Call responds not only to the challenges & opportunities of ageing well, but also provides another support angle to the health and care system, particularly at local and regional level. The smart solutions for healthy ageing funded under the AAL Programme should be designed to address the respective wishes/aspirations and needs identified by end-users as well as being both transnational and collaborative with a cost-sharing approach between private and public funding.

Since 2008, the AAL Programme has funded over 240 thematic projects in 12 calls. The AAL Programme calls are complementary to the Horizon 2020 calls related to Active and Healthy Ageing under Societal Challenge 1 (SC1), as well as to the Horizon 2020 2018-2020 Work Programme on Information and Communication Technologies.

Applicants are strongly encouraged to check the list of funded projects at http://www.aal-europe.eu/projects/.
1.2 CALL RATIONALE

**CHALLENGE** - Regional and national health and care systems in Europe and internationally are under increasing financial and human resources pressures primarily due to demographic changes, insufficient health and social care workers, together with a significant increase in demand for care and support services.

To address these challenges, this call is promoting a life course approach to health and wellbeing. In addition to a focus on older adults, proposals could consider the applicability of the proposed solutions to other population groups where appropriate, for example the transition phase from a working life to retirement.

Proposed solutions should meet the needs of end-users, be it seniors, their carers or institutions providing care. Innovative approaches to deployment and adoption of ICT services should be part of the solution development alongside the development of the new ICT/digital products, as well as their integration into the regional socio-economic context.

**VISION ON HEALTHY AGEING** - Quality of life concepts such as ‘positive health’, which describes health as the ability to adapt and self-manage in light of physical, emotional and social challenges, or ‘healthy ageing’, developed by the World Health Organization, can provide guidance in the development of solutions to support older adults. The environment (home, neighbourhood, community, health & care support), of each person, is of crucial importance, as it changes over time and is highly dependent on organisational, economic and social contexts.

**HOW TO TACKLE THE CHALLENGE** - It is generally recognised that ICT-based solutions have the potential to enhance different aspects of the quality of life of older adults, as well as transform and improve the long-term sustainability of the health and social care systems. Yet, the development of ICT based solutions on their own cannot guarantee a proper adoption and integration by the end user or market uptake. A condition for adoption success of ICT - based products is their integration into service delivery models or in the end-user’s local/regional network.

**THE IMPORTANCE OF ECOSYSTEMS** - To tackle the abovementioned challenge, supporting and strengthening existing and emerging ecosystems supporting healthy ageing is needed. To make this successful, an approach encompassing the creation of sufficiently large marketplaces by involving an appropriate variety of end-users (see table at page 6), as well as researchers, manufacturers, large Industry, administration and policy representatives, is required. An important part of this approach shall be substantial knowledge transfer between stakeholders, extensive supply chain networks, and greater awareness of the needs and situation of other stakeholders. All this should support the implementation and scaling up of AAL solutions.

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4 See more at [https://www.who.int/ageing/healthy-ageing/en/](https://www.who.int/ageing/healthy-ageing/en/)
1.3 OBJECTIVES OF THE CALL

The scope of the call is built along the following objectives:

- Developing, testing, validating, scaling up and integrating innovative ICT solutions for ageing well into re-designed service delivery models aimed at maintaining long term “functional ability” (See Call rationale and WHO Healthy ageing definition);
- Contributing to the creation/strengthening/connection of healthy ageing ecosystems (see page 14 for the type of activities sought in this domain).

- Exploring new ideas, new collaborations as well as new user approaches for ICT-based solutions designed to support older adults.
- Proposing proofs of concept for further development of innovative ICT solutions.
- Rapid evaluation of the business potential of available/new AAL products and services.

Through Collaborative Projects see Chapter 2

Through Small Collaborative Projects see Chapter 3
1.4 AAL APPLICATION AREAS

The categorisation system below illustrates the variety of application areas for AAL (see TAALXONOMY—AAL Product and service categories).

1.5 AAL MARKETS

Since the AAL Programme commenced, the 12 previous calls have not only delivered many useful solutions for older adults, they have also provided a more profound understanding of the different market segments for AAL solutions:

- There are solutions aiming at private consumer markets with aspects such as sustained well-being, maintaining one's lifestyle, staying independent (e.g., at home), continued employment. Although buyers would mainly be the older adults themselves, other relevant population groups (as mentioned under “VISION ON HEALTHY AGEING”), or their families and social networks are also envisaged.

On the other hand, there are solutions aimed at institutional markets such as health and care, social care and housing. In these markets the buyers are mainly secondary or tertiary end-user groups (such as care delivery organisations or national/local government organisations) who provide the solutions as part of a more comprehensive service offer. These market segments usually have a mandatory requirement for providing evidence about the benefits for end-users and cost-effectiveness of ICT-based solutions before adopting them in full. In addition, solutions have to be aligned to the vision and strategy of the organisations involved. Emerging and existing healthy ageing ecosystems are playing a crucial role in dealing with the complexities of organisations and interests.

In the AAL Programme, end-users play a crucial role in the co-creation, testing, evaluation and deployment of AAL solutions. It means that AAL funded projects must involve relevant end-users in different stages of the project, including the validation of the business model.

### 1.6 Definition of End-Users in the AAL Programme

| Primary end-users | Older adults | who are actually using AAL products and services. This group directly benefits from AAL solutions through an increased quality of life and autonomy. Primary end user organisations are organisations that represent older adults (e.g. senior organisations/cooperatives etc.). |
|-------------------|--------------|
| Secondary end-users | Persons or organisations directly in contact with primary end-users, such as formal and informal caregivers, family members, friends, neighbours, care organisations and their representatives. This group benefits from AAL directly when using AAL products and services (at a primary end-user’s home or remotely) and indirectly when the care needs of primary end-users are reduced. |
| Tertiary end-users | Institutions and private or public organisations that are not directly in contact with AAL products and services, but who somehow contribute in organising, paying or enabling them. This group includes the public sector and local government organisations, social security systems, insurance companies, housing corporations etc. Common to these organisations is that benefits from AAL come from increased efficiency and effectiveness which result in cost savings or by not having to increase expenses in the medium and long term. |

### 1.7 Types of Projects Funded Under Call 2020

Two types of projects will be funded under this Call, namely the Collaborative Projects (see chapter 2) and Small Collaborative Projects (see Chapter 3).
1.8 ELIGIBILITY CRITERIA

CONSORTIUM-LEVEL GENERAL ELIGIBILITY CRITERIA
FOR ALL PROJECTS

- Submission of a complete proposal through the AAL electronic submission system before the deadline, as specified in the ‘Guide for Applicants’.
- English as the sole language of the proposal.
- Consortium composition of at least 3 independent eligible organisations (legal entities), from at least 3 different AAL Partner States participating in the Call for Proposals. (Important: Applicants for Small Collaborative Projects should check in the list of countries reported below whether their respective funding agency will fund this type of project.)
- Consortia must include at least one eligible for-profit business partner.
- Consortia must include at least one eligible for-profit SME partner which can be the for-profit business partner.
- Consortia must include at least one eligible end-user organisation.
- Proposals submitted by consortia presenting a Collaborative project must include at least one signed declaration of intent (one-page document) from relevant stakeholders eg end-user organisations, health care delivery institutions and government stakeholders.

NATIONAL ELIGIBILITY CRITERIA

- Only organisations that are explicitly described in the national eligibility criteria are eligible for funding. The national eligibility criteria are published together with the Call text.
- It is highly recommended to consult the guide for applicants and all the relevant national Supporting Documents, in order to guarantee a proper proposal submission (pls note that for some countries the proposal has also to be sent through national platforms) . In case of any clarification, do not hesitate to contact the AAL National Contact Persons (NCPs) prior to submission of a proposal (list of NCPs and contact data can be found on the AAL Programme website www.aal-europe.eu/contacts/national-contact-persons/).
- In some countries, establishing contact with the NCP prior to the submission of the proposal is mandatory in order to be eligible for funding. Also, please note that the submission of the proposal at national level might be required (contact the NCPs to check this if necessary).
- The project may include organisations not requesting funding, or organisations that are not eligible for funding according to national eligibility rules, or organisations not residing in any AAL Partner State. Such organisations may be associated with the project without funding.

6 The participation of organisations residing outside an AAL Partner State is restricted to organisations residing in a Member State of the European Union that currently does not participate in the AAL Programme, i.e. Bulgaria, Croatia, Czech Republic, Estonia, Finland, France, Germany, Greece, Ireland, Latvia, Lithuania, Malta, Slovakia, Sweden and the United Kingdom.
from the AAL Programme, but they cannot be crucial for the project’s implementation. They will not be taken into consideration when assessing the project proposal against the eligibility criteria and project characteristics stated above.

1.9 EXPECTED IMPACT

By funding the development of AAL solutions, the AAL Programme aims to create evidence of improved quality of life, added value for end-users, usefulness and effectiveness of the solutions, as well as evidence of their reliability, security, financial viability and integration into service delivery models with positive business impact for potential payers.

In the proposal, applicants must outline the key performance indicators that will be used to measure impact(s) of the ICT-based solution (products and services), as well as the methodology to collect this data.

The AAL Programme has developed an impact assessment framework along the three dimensions of improvement, namely quality of life, long-term sustainability of health and care systems and strengthening of industrial base. The below paragraphs provide guidance hereby:

EXPECTED IMPACT ON QUALITY OF LIFE

ICT-based solutions in this Call are expected to help sustain or improve various aspects of the quality of life of older adults and other relevant population groups, as specified under the Call rationale, and throughout the ageing process to support them to:

- Live healthy, active and meaningful lives;
- Live independently and safely at home as long as possible (maximising their decision-making and control over their daily activities) with support from people in their care networks; and
- Live with dignity and be socially included.

If the proposed ICT-based solution includes informal and/or professional caregivers, it should support them to:

- Reduce stress and care burden;
- Build resilience; and
- Improve the quality, efficiency and effectiveness of the care they provide.

For organisations and companies that support the quality of life of older adults and their social/support networks, it is important that the proposed ICT-based solutions can be (easily) integrated into their organisational environment.
EXPECTED IMPACT ON MARKET DEVELOPMENT

ICT-based solutions in this Call are expected to contribute to:

- A greater exploitation of ICT based solutions for supporting older adults. This includes measures to support their care networks as well.
- A growing institutional and private consumer market of interoperable and scalable AAL systems to support active, healthy and independent living.
- More European/international collaboration, including between end-users, industry and other stakeholders who participate in the value chain.
- Better use of all resources for the social/care system, with older people being supported to live independently in their homes for longer with the help of family and community care networks, and thus reduce the need for formal (paid-for) care and hopefully delay any move to institutionalised care.

EXPECTED IMPACT ON EFFICIENCY AND SUSTAINABILITY OF HEALTH AND CARE SYSTEMS

Health and care systems in the EU vary from country to country and reflect different policy and societal choices. However, despite organisational and financial differences, the systems are mostly built on common values.

ICT-based solutions in this Call are expected to improve the operational efficiency and the quality of services within current and future emerging health and care systems. Such solutions could contribute to:

- Sharing information/improve coordination among involved stakeholders;
- Increasing the early detection of risks;
- Moving towards cost efficiency in the formal sector;
- Relieving pressure on health and care providers.

1.10 EVALUATION PROCEDURE AND FUNDING ALLOCATION

All eligible proposals will be evaluated and scored by a panel of independent experts (from business, end-users, and ICT organisations) to establish a ranking list. Only proposals scoring above the required threshold will be considered for funding from the AAL Programme. Funding contracts for individual project partners will be concluded with the relevant national funding authority. More details on the evaluation criteria for the two types of Collaborative projects and the selection process can be found in the ‘Guide for Applicants’.
1.11 BASIC INFORMATION ON AAL CALL 2020

The AAL Call 2020 is open to Collaborative Projects as well as to Small Collaborative Projects with different objectives, characteristics, requirements, templates and evaluation criteria. However, the Call will have one closing date.

Note: Some of the funding agencies participating in the call may not fund Small Collaborative Projects. Please also refer to the document, ‘National Eligibility Criteria’.

- Date of publication: 03/02/2020.
- Closure date: 22/05/2020 at 17:00 hours Central European Time (CET).
- Indicative total funding: €24,136,400

This amount includes a contribution of up to €xxx by the European Commission.

The consortia must submit one common project proposal with one partner acting as coordinator.

Evaluation scores will total the same maximum score for both types of projects, which will lead to one combined list of selected proposals. Approval of the list of selected proposals by the AAL General Assembly is expected for mid-September 2020.

1.12 GUIDE FOR APPLICANTS

Please always consult the latest version of the ‘Guide for Applicants’ for detailed information on:

- How to submit a proposal
- Application prerequisites
- Evaluation criteria
- Selection processes
- Consortium level and national eligibility criteria
- Guidelines for integrating end users
- Guidelines for defining business cases
- Ethical guidelines
- Details on where to obtain further information

Note: Applicants are encouraged to register on the AAL proposal submission website (https://ems.aal-europe.eu) before the end of April 2020.

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See the last chapter for an overview of the AAL Partner States participation.
## 1.12 AAL PARTNER STATES PARTICIPATION

<table>
<thead>
<tr>
<th>AAL Partner State</th>
<th>Commitments for Call 2020</th>
<th>Funding collaborative projects</th>
<th>Funding small collaborative projects</th>
</tr>
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</tr>
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<td>Canada**</td>
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<td><strong>Expected total funding commitment</strong></td>
<td><strong>24.136.400 €</strong></td>
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</table>

* Awaiting official confirmation of informal commitment in February 2020
** Indicative amount (CAD $353,000), not subject to EC co-funding
*** Pending EC final approval subject to final member commitments
**** Applicants for a SCP from this country should be aware that their respective national funding authority cannot provide a time to grant shorter than the ordinary one used for the collaborative projects

Note: EC co-funding is granted to AAL Partner States (or member organisations), except for Canada and Taiwan, on top of the indicated commitment. The final allocation depends on the call outcome.
2. Collaborative projects

GENERAL INFORMATION

<table>
<thead>
<tr>
<th>Aim</th>
<th>Collaborative Projects aim to:</th>
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<tbody>
<tr>
<td></td>
<td>• Develop, test, validate, scale up and integrate into service delivery models ICT solutions for ageing well;</td>
</tr>
<tr>
<td></td>
<td>• Develop innovative ICT solutions to support holistic approaches to healthy ageing for maintaining long term “functional ability” (See Call rationale and WHO Healthy ageing definition);</td>
</tr>
<tr>
<td></td>
<td>• Contribute to the creation/strengthening/connection of ecosystems (see description of AAL ecosystems at page 4), limited to a task in the dissemination-related activities of the proposal.</td>
</tr>
</tbody>
</table>

| Duration | A Collaborative Project has a duration of a minimum of 12 to a maximum of 30 months. |

| Funding | The maximum funding from the AAL Programme per project is of €2.500.000 (the indicative total budget being €5.000.000). |

| TRL | Collaborative Projects funded under Call 2020 will be operating in technology readiness levels (TRL) 5-8. |

MAIN FEATURES AND OBJECTIVES

Collaborative Project proposals in AAL Call 2020 are expected to be user-driven through co-creation and address a specified challenge. The Call emphasises a strong involvement of end-users — especially secondary and tertiary — and other relevant stakeholders in the shaping of solutions and in creating respective markets and ecosystems.

Furthermore, the route to market needs to be clearly described and aligned with the business strategies of the partners responsible for commercialisation.

The proposed solutions need to respond to different requirements, depending on the type of market.

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8 Technology readiness levels (TRL)
TRL 5 – technology validated in relevant environment.
TRL 6 – technology demonstrated in relevant environment.
TRL 7 – system prototype demonstration in operational environment.
TRL 8 – system complete and qualified.
SOLUTIONS TARGETING THE PRIVATE CONSUMER MARKETS

Proposals should aim to develop ICT-based solutions to support older adults by addressing issues such as well-being, lifestyle, comfort, digital and health literacy aspects. These projects have a short time-to-market (maximum 2 years after project end), and should have a clearly described route to market and an identified leader for commercialisation. This could be an SMEs, large industry, or an end-user organisation, acting as business partner. Pilots and related testing and evaluation shall be carried out in at least two countries.

SOLUTIONS TARGETING THE INSTITUTIONAL MARKETS

Submitted proposals for Collaborative Projects are expected to develop ICT-based solutions for supporting older adults that can be integrated in the strategies of end-user organisations, service providers and business partners. These projects should also aim to have a short time-to-market (maximum 2 years after project ends), a clearly described route to market and an identified leader for commercialisation. The ecosystem in which the solution will be implemented has to be properly described in the application form as this will be considered as being the institutional/technical and social framework in which the project will take place.

In both above-mentioned markets there is an urgent need for evidence about the benefits for end-users and cost-effectiveness of AAL solutions. This implies a prominent role for iterative testing, as well as substantial evaluation of the added value of the solution in at least two countries. Therefore, proposals should include a significant number of users as well as relevant providers and potential customers of the proposed solution. Specifically, in the case of institutional markets, secondary and tertiary end-user organisations are expected to be able to integrate the solutions in their service offers to older adults and their networks, work processes, reimbursement systems, etc.

Since a substantial level of requirements analysis with older adults and informal carers have already been carried out in previous projects, it is expected that project consortia will build on knowledge that is already available. If further requirements analysis is needed, this has to be justified in the proposal.

A strong business and market orientation are crucial in the projects in order to:

- Evidence the benefits of solutions for specified market segments/customer groups;
- Sell products and services on the consumer market within two years of the project end; and
- Launch solutions in the chosen segments of the institutional market within two years of the project end in collaboration with user organisations and service providers within two years after the project is completed.

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9 See for example the public deliverables developed by past and ongoing AAL funded projects: [http://deliverables.aal-europe.eu/](http://deliverables.aal-europe.eu/)
GENERAL REQUIREMENTS FOR COLLABORATIVE PROJECTS

Collaborative Projects need to:

• Present a realistic business plan with time-to-market of 2 years (maximum) after the end of the project.
• Demonstrate a significant involvement of industry and other business partners, particularly SMEs. The effort of industry and other business partners\textsuperscript{10} in the “Role” as mentioned in the proposal (and not “Type” of organisation) they will play in each project must be 50% or more (in person months).
• Foresee a task specifically related to creating/strengthening/connecting ecosystems. Activities such as (but not limited to) outreach, networking and engagement with key stakeholders (regional/national authorities), sharing of best practices among ecosystems, connection of players, etc. should be foreseen.

The following points outline the general requirements for the main parts of the proposal:

1. END-USERS

• Depending on the proposed solution, a significant and meaningful number of all relevant types of end-users must be involved from the beginning of the project to its end. Their participation must be made clear in both the development process and in determining the effective use of the solutions (co-creation approach).
• If primary end-users are involved, projects must adopt a holistic, inclusive and user-centred approach. The focus must be on their personal aspirations, satisfaction and self-confidence and not only on illness, impairments and limitations.

2. SOLUTIONS

• The user interface for ICT solutions must be simple, intuitive, personalised and adaptable to the changing abilities and requirements of primary end-users.
• Solutions must be reliable and safe and ensure security, ethics and privacy by design.
• Solutions should be based on existing standards and open platforms in order to improve interoperability. If solutions are not based on existing standards, this decision must be justified within the proposal.
3. MARKET

- The innovation approach for the AAL Programme is based on creating markets by developing solutions which meet the aspirations, wishes and needs of end-users. Therefore, it must be convincingly demonstrated that the proposed solutions have high potential for scale-up, commercialisation and integration into a re-designed service delivery model.
- A clear competitive analysis for the proposed solution must be provided together with a business plan including a viable business model, a description of the potential market, a roll-out plan and an estimation of the resources (personnel and financial) required to reach the market. In addition, there must also be a clear indication as to which partner(s) (in the consortium) will bring the solution to the international market.

4. THE PROPOSAL

- Proposals must be focused, creative and ambitious and go beyond state-of-the-art solutions presently available or emerging on the market or developed in any previously funded AAL projects. Furthermore, proposals should also include aspects of social and business innovation.
- Proposals must present a work plan specifying the process, deliverables and milestones to develop and test the solution with a relevant number of end-users.
- Proposals must include a user-centred approach throughout the project.
- Proposals must consider the national ethical-legal frameworks of relevant countries, stakeholders and EU/UN.
- Proposals must describe how they deal with any ethical aspects.
- Proposals must have a European/international dimension (i.e. the proposed project cannot be accomplished on an individual national level and should take in account differences in regions, cultures, languages, purchasing power and in national health care and social care systems).

CONSORTIUM-LEVEL SPECIFIC ELIGIBILITY CRITERIA

INDICATIVE SIZE OF THE CONSORTIUM

The number of partners should be from 3 to 10, from at least 3 different participating countries.

Important: Adherence to the specifications for structure and technical details (e.g. page count—maximum 30) of the proposal submission template for Collaborative Projects.

11 For more information see ‘Guide for Applicants’.
12 For more information see ‘Guide for Applicants’. 
3. Small Collaborative Projects

GENERAL INFORMATION

Aim
Small Collaborative Projects aim to:
• Explore new ideas, new collaborations as well as new user approaches for ICT-based solutions for older adults.
• Propose proofs of concept for further development of innovative ICT solutions.
• Rapidly evaluate the business potential of available/new AAL products and services

Duration
A Small Collaborative Project has a duration of 6 to 9 months. They are intended to be quicker and more agile than Collaborative Projects including in relation to the submission process, grant signature and reporting.

Funding
The maximum funding from the AAL Programme per project is €300.000.

Technology Readiness Level (TRL)
No TRL is applied to this type of project.

MAIN FEATURES AND OBJECTIVES

Proposals should reach out to new stakeholders for inclusion in (future) development of AAL solutions, build strong collaborations with end-user organisations and other stakeholders and support community building with new customers. They can result in well-substantiated ideas or proposals for AAL solutions to be submitted in a later AAL call (or elsewhere).

Moreover, in Small Collaborative Projects, partners in submitted proposals are expected to collaborate intensively with end-users at an early stage in order to achieve one or more of the following objectives:
• Thoroughly investigate the wishes and needs of end-users for appealing solutions;
• Explore novel and improved approaches for involving all types of end-users and stakeholders in the development of AAL solutions;
• Provide evidence in relation to expected benefits for end-users and for end-user organisations, enhancing the latter’s own processes (including for the improvement of service delivery);
• Explore ways for opening up the market for ICT-based solutions for older adults; and
• Assess the market potential of a proposed AAL concept.

GENERAL REQUIREMENTS FOR SMALL COLLABORATIVE PROJECTS

Small Collaborative Project proposals should comply with the following requirements:

• be focussed, creative, ambitious and go beyond solutions presently available or emerging on the market, taking into account aspects of social and business innovation;
• present a work plan specifying deliverables and milestones;
• describe how they plan to deal with ethical aspects, taking into consideration the relevant legal frameworks of the countries involved, stakeholders and the EU/UN (if applicable);
• have a European/international dimension.

CONSORTIUM-LEVEL SPECIFIC ELIGIBILITY CRITERIA

INDICATIVE SIZE OF THE CONSORTIUM

Partners should be from at least 3 different participating countries; the number of partners should be proportionate to the scope of the project.

Important: Adherence to the specifications for structure and technical details (e.g. page count—maximum 20) of the proposal submission template for Small Collaborative Projects.